

CCO — Chief Compliance Officer

Duration: 5 Days

Language: en

Course Code: MG2 - 216

Objective

By the end of this course, participants will be able to:

- Define the evolving role of the Chief Compliance Officer in today’s regulatory landscape.
- Design and implement an enterprise-wide compliance framework.
- Manage regulatory risk using international compliance standards and tools.

- Lead internal investigations and liaise with regulatory bodies.
- Align compliance programs with corporate governance and risk strategies.
- Promote a culture of ethics and accountability across departments.

Audience

This course is ideal for:

- Newly appointed and experienced Chief Compliance Officers.
- Compliance Managers and Governance Executives.
- Risk and Internal Control Professionals.
- Corporate Counsel and Legal Advisors.
- Audit and Regulatory Affairs Leaders.
- Senior Executives responsible for ethics, integrity, and compliance.

Training Methodology

This course uses a combination of expert-led presentations, case studies, peer discussions, real-life compliance scenarios, and regulatory simulations. Participants will engage in reflective learning, international benchmarking, and interactive knowledge-sharing.

Summary

This advanced training course equips current and aspiring Chief Compliance Officers (CCOs) with the knowledge and leadership skills required to effectively manage enterprise-wide compliance functions. As global regulatory frameworks become more complex and stakeholder expectations grow, the CCO plays a strategic role in upholding integrity, ensuring corporate accountability, and fostering a compliance-driven culture.

Participants will gain a comprehensive understanding of risk-based compliance management, regulatory frameworks (such as GDPR, AML, FCPA), audit coordination, ethical leadership, and cross-border governance. The course blends strategic insights with operational techniques to empower CCOs to design resilient compliance programs aligned with business goals.

Course Content & Outline

Section 1: The Role of the Chief Compliance Officer in Modern Governance

- Introduction to corporate compliance: definitions, scope, and relevance
- The strategic role of the CCO in corporate governance
- Legal vs. ethical compliance: differences and overlaps
- Regulatory trends across sectors (finance, healthcare, technology, etc.)
- Building the CCO’s leadership brand and board-level influence

Section 2: Designing & Managing Compliance Frameworks

- Components of a modern compliance program
- Identifying and assessing compliance risk
- Compliance management systems (CMS): structures and tools
- Integrating compliance into the enterprise risk management (ERM) framework
- Documentation, policies, training, and awareness building

Section 3: Navigating Global Regulatory Environments

- Key international regulations: GDPR, FCPA, UK Bribery Act, AML directives
- Industry-specific compliance obligations (banking, pharma, tech)
- Cross-border data transfers and privacy frameworks
- Working with regulators and external auditors
- Internal investigations and whistleblower protection mechanisms

Section 4: Compliance Monitoring, Auditing & Reporting

- Compliance controls: preventive, detective, and corrective
- Designing compliance audits and control testing frameworks
- Compliance dashboards, KPIs, and key risk indicators (KRIs)
- Reporting to the board and regulatory authorities
- Root cause analysis and remediation plans

Section 5: Ethics, Culture, and Strategic Influence

- Building a culture of ethics and compliance
- Leadership tools to foster accountability and transparency
- Aligning compliance with ESG, DEI, and CSR strategies

- Crisis response: managing reputational risk and legal exposure
- Capstone Workshop: Simulating a board-level compliance crisis and strategic response

Certificate Description

Upon successful completion of this training course, delegates will be awarded a Holistique Training Certificate of Completion. For those who attend and complete the online training course, a Holistique Training e-Certificate will be provided.

Holistique Training Certificates are accredited by The CPD Certification Service (CPD), and are certified under ISO 9001 and ISO 29993 standards.

CPD credits for this course are granted by our Certificates and will be reflected on the Holistique Training Certificate of Completion. In accordance with the standards of The CPD Certification Service, one CPD credit is awarded per hour of course attendance. A maximum of 50 CPD credits can be claimed for any single course we currently offer.

Categories

Management & Leadership

Tags

C-suite, Chief Compliance Officer, CCO

Related Articles



Governance, Risk Management & Compliance: Building an Effective Strategy for Your Organisation

Governance, Risk Management, and Compliance (GRC) are essential for organisations that aim to mitigate risks while adhering to regulatory standards. This blog post covers the definition of GRC, its importance, the challenges faced during implementation, and practical steps to create a robust GRC strategy. A well-structured approach to GRC enhances