

**Duration:** 5 Days

Language: en

Course Code: IND18-104

# Objective

Upon completion of this course, participants will be able to:

- Understand the importance of aviation compliance monitoring within an organisation.
- Review various management systems and utilise techniques to improve auditing processes.
- Engage in audit process management to support regulatory oversight and internal monitoring.

- Effectively plan and conduct regular oversight audits, create audit checklists, and utilise them for audit activities.
- Explore methods to obtain evidence of compliance to increase audit efficiency.
- Examine the consequences of poor compliance monitoring on the business and individual.
- Encourage objective reporting to improve audit process accuracy.
- Comprehend the consequences of poor aviation compliance.

## **Audience**

This course is designed for anyone in the aviation industry who is responsible for ensuring all organisational functions, legal standards, and regulations are followed. It would be most beneficial for:

- Auditors
- Operations Managers
- Compliance Monitoring Officers
- HSE Officers
- Risk Analysts
- Airport Contracts Managers
- Safety Investigators

## **Training Methodology**

This course uses a variety of adult learning styles to aid full understanding and comprehension. Participants will review case studies of real-world compliance monitoring and auditing processes to highlight key factors included within auditing.

The participants will use a variety of learning methods, including presentations, video materials, practical demonstrations, and group activities. This combination of methods will guarantee that the participants develop a full and comprehensive understanding of the taught content and related skills. The group activities will also allow them to demonstrate their new knowledge and offer and receive feedback from their peers.

### **Summary**

Within the aviation industry, there are a significant number of laws and regulations an organisation must follow. These regulations encompass many different factors of the industry but primarily focus on the health and safety of employees. As the nature of this industry requires a great deal of work around large machinery, ensuring employee safety is a top priority.

Compliance monitoring is a crucial step in ensuring health and safety in aviation. Monitoring compliance is completed through the audit process, which is conducted over several stages: planning, fieldwork, information evaluation, and corrective action. Within these stages, the auditors will create a plan detailing exactly what is being monitored, interview employees, analyse the collected data, and provide recommendations for compliance improvement. Compliance monitoring allows the organisation to recognise faults or areas not up to regulatory standards. Once the audit process is complete, the organisation can return and correct any issues, increase safety, and develop further safety procedures. A final audit is then carried out to confirm the success of these changes.

### **Course Content & Outline**

#### **Section 1: Fundamentals of Aviation Compliance Monitoring**

- Understanding the reason for compliance monitoring and the consequences the organisation may face if monitoring isn't conducted is important.
- Reviewing the basic management systems often used, as well as their benefits and limitations.
- Explaining the concepts, principles, and standards of compliance monitoring.
- Internal and external factors that may influence the compliance audit process.
- Mitigating influential factors to ensure fully accurate results.

#### Section 2: Audit Planning Process

- Identifying what specific subjects are being audited and why.
- Creating an extensive checklist detailing the exact information being measured and monitored.
- Establishing individuals to discuss aviation processes in relation to the subject.
- Exploring various interviewing and questioning techniques to guarantee a full understanding and accurate data.

#### Section 3: Managing the Audit Process

- Ensuring full, coherent communication with the auditor or other important personnel.
- Methods to accurately collect all desired data and obtain evidence of compliance.
- Comprehending the process effectiveness and aligning organisational productivity with industry standards.

• Comparing processes to regional laws and regulations and ensuring full compliance.

#### **Section 4: Evaluating Audit Findings**

- Analysing all collected data and categorising them based upon the type of information and importance.
- Discussing findings with the relevant individuals and exploring further actions.
- Examining compliance recommendations and how these may be best implemented without disrupting organisational productivity.
- Creating a cohesive report of all processed information detailing findings, process successors and faults.

#### **Section 5: Corrective Action and Follow-up**

- Devising necessary corrective action plans to rectify identified faults.
- Guarantee that all changes meet standards and regulations and contain the recommendations from the auditors.
- Verifying corrective plans with necessary personnel.
- Carefully implementing changes and re-auditing to confirm compliance.

### **Certificate Description**

Upon successful completion of this training course, delegates will be awarded a Holistique Training Certificate of Completion. For those who attend and complete the online training course, a Holistique Training e-Certificate will be provided.

Holistique Training Certificates are accredited by the British Assessment Council (BAC) and The CPD Certification Service (CPD), and are certified under ISO 9001, ISO 21001, and ISO 29993 standards.

CPD credits for this course are granted by our Certificates and will be reflected on the Holistique Training Certificate of Completion. In accordance with the standards of The CPD Certification Service, one CPD credit is awarded per hour of course attendance. A maximum of 50 CPD credits can be claimed for any single course we currently offer.

## **Categories**

Aviation, Health, Safety & Environment HSE, Quality & Productivity

# Tags

Aviation Compliance, Monitoring

## **Related Articles**



Quality and Risk Management: A Comparative Study (2025)

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## YouTube Video

https://www.youtube.com/embed/KQzqqNOsIFM?si=0S338OHPrDeqBgPU